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*Am J Physiol Heart Circ Physiol* 288:H2912-H2917, 2005. First published 11 February 2005;  
doi: 10.1152/ajpheart.01037.2004

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# Retinal arteriolar diameter, blood velocity, and blood flow response to an isocapnic hyperoxic provocation

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Submitted 12 October 2004; accepted in final form 8 February 2005

**Gilmore, Edward D., Chris Hudson, David Preiss, and Joe Fisher.** Retinal arteriolar diameter, blood velocity, and blood flow response to an isocapnic hyperoxic provocation. *Am J Physiol Heart Circ Physiol* 288: H2912–H2917, 2005. First published February 11, 2005; doi:10.1152/ajpheart.01037.2004.—The aim of this study was to simultaneously quantify the magnitude and response characteristics of retinal arteriolar diameter and blood velocity induced by an isocapnic hyperoxic provocation in a group of clinically normal subjects. The sample comprised 10 subjects (mean age, 25 yr; range, 21–40 yr). Subjects initially breathed air for 5–10 min, then breathed O<sub>2</sub> for 20 min, and then air for a final 10-min period via a sequential rebreathing circuit (Hi-Ox; Viasys) to maintain isocapnia. Retinal arteriolar diameter and blood velocity measurements were simultaneously acquired with a Canon laser blood flowmeter (CLBF-100). The response magnitude, time, and lag of diameter and velocity were calculated. In response to hyperoxic provocation, retinal diameter was reduced from control values of 111.6 (SD 13.1) to 99.8 (SD 10.6;  $P < 0.001$ )  $\mu\text{m}$  and recovered after withdrawal of hyperoxia. Retinal blood velocity and flow concomitantly declined from control values of 32.2 (SD 6.4) mm/s and 9.4 (SD 2.5)  $\mu\text{l}/\text{min}$  to 20.7 (SD 3.4) mm/s and 5.1 (SD 1.3)  $\mu\text{l}/\text{min}$ , respectively ( $P < 0.001$  for both velocity and flow), and recovered after withdrawal of hyperoxia. The response times and response lags were not significantly different for each parameter between effect and recovery or between diameter and velocity. We conclude that arteriolar retinal vascular reactivity to hyperoxic provocation is rapid with a maximal vasoconstrictive effect occurring within a maximum of 4 min. Although there was a trend for diameter to respond before velocity to the isocapnic hyperoxic provocation, the response characteristics were not significantly different between diameter and velocity.

vascular reactivity; laser Doppler velocimetry; isocapnic hyperoxia

THE BLOOD SUPPLY TO THE INNER retina is derived from the central retinal artery, whereas the choriocapillaris supplies the outer retina and photoreceptors. The retinal tissue is one of the most metabolically active in the body and, correspondingly, an uninterrupted nutrient supply is essential (50). The inner retinal blood vessels (i.e., past the lamina cribrosa) are thought to be unique due to the absence of an autonomic nerve supply to regulate vascular tone (53). Blood supply to the inner retina is regulated via local feedback signals that alter retinal perfusion in response to changes in systemic blood pressure or the concentration of certain metabolites (11, 18). In particular, retinal blood flow is strongly dependent on the partial pressure of oxygen (P<sub>O<sub>2</sub></sub>; Refs. 14, 25, 31, 42, 48).

The retinal vasculature can be noninvasively visualized and, consequently, its hemodynamic parameters quantified. Impairment of vascular reactivity has been demonstrated in the pathogenesis of various ocular diseases including diabetic retinopathy (13, 20, 25, 32). Administration of O<sub>2</sub> has previously been employed as a stimulus to provoke and assess the magnitude of the retinal vascular response. Vasoconstriction of retinal vessels (9, 24) and the resulting reduction of retinal hemodynamic parameters has been demonstrated using a variety of measurement techniques (4, 14, 22, 25, 26, 31, 33, 34, 37–39, 42, 43, 46, 48). However, none of these studies has utilized a technique that is capable of absolute quantification of retinal blood flow.

The aim of this study was to quantify the magnitude and response characteristics of retinal arteriolar diameter, blood velocity, and blood flow induced by a hyperoxic provocation in a group of clinically normal subjects. There are two unique aspects to this study. First, we used a technique that allows the simultaneous quantification of vessel diameter and centerline blood velocity to calculate retinal blood flow in microliters per minute. Second, we used a unique system validated in our laboratory (21, 45) to administer isocapnic hyperoxia. This overcomes the drawbacks of previous studies that were due to inadequate control of P<sub>CO<sub>2</sub></sub> when hyperoxia was implemented. The precise sequence of hemodynamic events underlying retinal vascular reactivity can be elucidated by simultaneously investigating the changes in diameter and velocity relative to the onset of the stimulus and to one another.

## MATERIALS AND METHODS

**Sample.** The sample comprised 10 clinically normal subjects (5 male and 5 female; mean age, 25; SD 6 yr). Only subjects who were 40 yr of age or younger and had no media opacities were included, i.e., those with nuclear opalescence <1, nuclear color <1, posterior subcapsular cataract <1, and cortical cataract <1 according to the Lens Opacity Classification System III (5). All subjects had a Log-MAR (logarithm of the minimum angle of resolution) visual acuity of 0.00 or better. Subjects were excluded if they exhibited any eye disease, cardiovascular or respiratory disorders, a refractive error greater than  $\pm 6.00$  diopters sphere or  $\pm 2.00$  diopters cylinder, glaucoma, diabetes in a first-degree relative, or medications with known effects on blood flow (e.g., muscle relaxants or anticonvulsant or anti-inflammatory medications). None of the subjects smoked. All participants were asked to refrain from caffeine-containing drinks or snacks for at least 12 h before their study visit. The study was approved by the University of Waterloo Office of Research Ethics and

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the University Health Network Research Ethics Board, Toronto. Informed consent was obtained from each subject after explanation of the nature and possible consequences of the study according to the tenets of the Declaration of Helsinki.

**Gas-delivery system.** The sequential rebreathing system comprised a fresh gas reservoir and an expiratory gas reservoir, each of which was connected to the patient with one-way valves. The inspiratory and expiratory limbs were interconnected by a single positive end-expiratory pressure valve that allowed exhaled gas to be rebreathed when the gas in the inspiratory limb was depleted. This system was assembled by adding a gas reservoir to the expiratory port of a commercial three-valve O<sub>2</sub> delivery system (Hi-Ox; Viasys Healthcare; Yorba Linda, CA). Flow from the gas tanks was controlled using standard rotometers as flowmeters. This method has been described in detail in previous publications (21, 45; Fig. 1).

**Canon laser blood flowmeter.** The principal underlying the Canon laser blood flowmeter (CLBF-100) is based on the Doppler effect. Laser light reflected from a moving particle is shifted in frequency ( $\Delta f$ ) by an amount that is proportional to the velocity of the moving particle. A vessel that exhibits Poiseuille flow will have a range of velocities and thus a range of frequency shifts up to a maximum frequency shift ( $\Delta f_{\max}$ ), which corresponds to the maximum velocity of the blood moving at the center of the vessel (since resistance is developed at the vessel wall). Light scattered from stationary tissue is not shifted and acts as the reference frequency from which a relative change in retinal blood velocity is measured (16). With the use of two photomultipliers separated by a known angle, the maximum frequency shift is subtracted to allow the absolute quantification of centerline blood velocity irrespective of the angle between the moving particle and the reflected beam (15, 41). A red diode laser (675 nm, 80 × 50- $\mu\text{m}$  oval) is used to measure velocity every 0.02 s across a 2-s measurement window, which results in a velocity-time trace. The CLBF-100 also uses a green diode vessel-tracking laser system (543 nm, 1,500 × 150- $\mu\text{m}$  rectangle) that is used to stabilize and measure the diameter of the vessel of interest (7, 35). The vessel-tracking system stabilizes measurement-site position and allows rejection of velocity measurements that involve significant saccades. Diameter readings are acquired every 4 ms during the first and final 60 ms of the 2-s velocity-measurement window. Two sequential measurements using different optical paths (*paths 1* and *2*) are taken to ensure consistency and are averaged to yield one reading. In combination with the average velocity ( $V_{\text{mean}}$ ) over a pulse cycle and diameter ( $D$ ), flow through the vessel can be calculated as  $\frac{1}{2} \times (\pi/4) \times V_{\text{mean}} \times 60 \times D^2$  [for technical summary, see Kida and co-workers (30)]. Magnification effects associated with refractive and axial components of ametropia are corrected to provide absolute measurements of

diameter (in  $\mu\text{m}$ ), velocity (in mm/s), and flow (in  $\mu\text{l}/\text{min}$ ). The technological principles used in this device have been described in detail elsewhere (3, 15, 30, 41). In addition, this device has been extensively evaluated on clinically normal subjects (19, 23) and those with various types of retinal pathologies (30, 54).

**Procedures.** Each subject was seated for  $\geq 5$  min to allow stabilization of heart rate and blood pressure before measurements were started. An initial air-breathing period was employed to allow stabilization of baseline parameters, e.g., respiration rate, PO<sub>2</sub>, and PCO<sub>2</sub>. Retinal arteriolar diameter and centerline blood velocity measurements were simultaneously acquired from either the supero- or inferotemporal arteriole in one eye of each subject using the CLBF-100. A minimum of five baseline measurements were acquired while the subject breathed air (5–10 min). The isocapnic hyperoxic stimulus was then initiated and maintained for 20 min. Subsequently, air was readministered for an additional 10 min to maintain isocapnia at baseline levels. Retinal blood flow measurements were acquired every minute during the study.

**Gas analysis and systemic responses.** A rapid-response critical care gas analyzer (CardiCap5; Datex-Ohmeda) was used to quantify the relative concentrations of O<sub>2</sub> and CO<sub>2</sub> in both the inspired and expired gases on a breath-by-breath basis. The relative O<sub>2</sub> and CO<sub>2</sub> concentrations were sampled continuously by the gas analyzer, whereas the inspired and end-tidal O<sub>2</sub> and CO<sub>2</sub> concentrations were downloaded to a personal computer every 5 s (S5 Collect software; Datex-Ohmeda). In addition, finger O<sub>2</sub> saturation, respiration rate, and pulse rate were also recorded continuously. The fractional concentration of O<sub>2</sub> in the expired breath (FE<sub>O<sub>2</sub></sub>) was chosen as the parameter that most closely reflects the change in arterial PO<sub>2</sub>. Gas data were analyzed using box plots that depicted the median, upper 25th and lower 75th percentiles, and outliers of end-tidal gas concentrations. Data points lying outside the upper 25th and lower 75th percentiles were excluded from the analysis, because all of these values were found to be erroneous; i.e., these points resulted from inappropriate interpretation of tidal waveforms by the gas monitor. Blood pressure was measured noninvasively once every 3 min during the experiment (CardiCap5).

**Function fitting.** Arteriolar diameter and velocity data were fit using a double sigmoidal function of the form

$$y = ((t < 20) \times \{[(\alpha - \beta)/(1 + \eta^{t-\gamma})] + \beta\}) + ((t > 20) \times \{[(\beta - \delta)/(1 + \theta^{t-\epsilon})] + \delta\})$$

where  $y$  is the magnitude of the hemodynamic parameter (i.e., diameter, velocity, or flow) at a certain time ( $t$ ) from the initial measurement ( $t = 0$ ). An arbitrary time point ( $t = 20$ ; i.e., approximately midway through the procedure) was used to divide the data into two

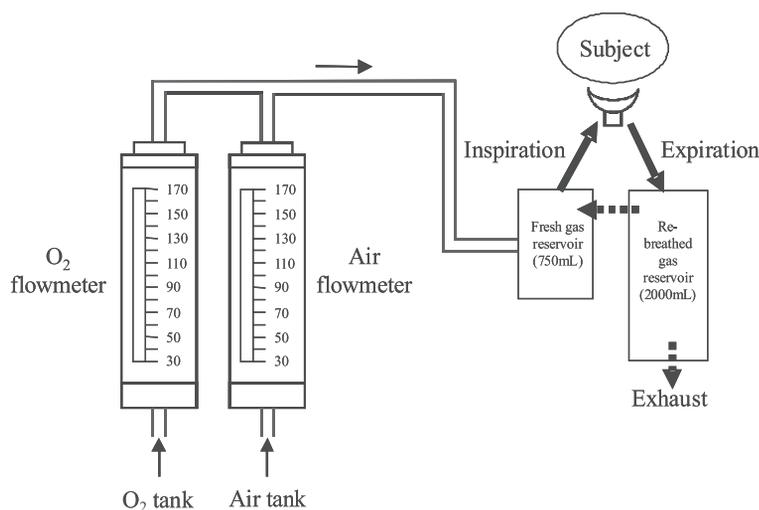


Fig. 1. Components of the sequential rebreathing system.

sections. The exponents  $\eta$  and  $\theta$  were constrained so that the inflexion points of the function could not occur before the  $O_2$  had been turned on (effect phase) or before the  $O_2$  had been turned off (recovery phase), respectively. For  $t < 20$ ,  $\alpha$  and  $\beta$  are the upper and lower asymptotes, respectively;  $\gamma$  is the value of  $t$  that corresponds to a value halfway between  $\alpha$  and  $\beta$ ; i.e., the midpoint of the effect phase of the function. For  $t > 20$ ,  $\beta$  is set as the lower asymptote and  $\delta$  is the upper asymptote (independent of  $\alpha$ );  $\epsilon$  is the value of  $t$  that corresponds to a value halfway between  $\beta$  and  $\delta$ ; i.e., the midpoint of the recovery phase of the function. The values for  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ , and  $\epsilon$  were varied using the “nonlinear regression” module in Statistica software (Stat-Soft) to produce a least-squares fit. As a result, the same mathematical model was used for all subjects and all hemodynamic parameters, but the coefficients of the model varied between subjects and between hemodynamic parameters of a given subject. An example of the function fitting is shown in Fig. 2.

Goodness of fit ( $r$  value) was determined. Fitted functions with  $r$  values  $< 0.6$  were excluded from the analysis. The velocity data from two subjects and diameter data from one subject were not included in the analysis due to either a low  $r$  value ( $< 0.60$ ) or inappropriate fit of the data. The magnitudes of the retinal vascular responses of diameter and velocity were calculated (i.e.,  $\alpha - \beta$  for the effect phase and  $\delta - \beta$  for the recovery phase). The time interval between the 5th and 95th percentiles of the changes in diameter and velocity were quantified and are referred to as the retinal vascular “response time.” The time interval between the onset (or cessation) of the hyperoxic stimulus and the midpoint of the effect (i.e.,  $\gamma$ ) or recovery (i.e.,  $\epsilon$ ) phase of the function was determined and is referred to as the “response lag.”

## RESULTS

There were abrupt reductions in vessel diameter, blood velocity, and blood flow on initiation of hyperoxia. All parameters returned to control levels when hyperoxia was discontinued (Figs. 2 and 3). The group mean retinal arteriolar diameter was 111.6 (SD 13.1; range, 85–129)  $\mu\text{m}$  before isocapnic hyperoxic provocation; it decreased to 99.8 (SD 10.6)  $\mu\text{m}$  during provocation (two-tailed paired  $t$ -test;  $P < 0.001$ ) and recovered to 109.9 (SD 11.7)  $\mu\text{m}$  on removal of the stimulus (Fig. 3). The group mean retinal blood velocity was 32.2 (SD 6.4; range, 22–42) mm/s before provocation; it decreased to 20.7 (SD 3.4) mm/s during provocation ( $P < 0.001$ ) and recovered to 33.3 (SD 5.0) mm/s (Fig. 3). The group mean retinal blood flow was 9.4 (SD 2.5; range, 5.4–13.4)  $\mu\text{L}/\text{min}$  before provocation; it decreased to 5.1 (SD 1.3)  $\mu\text{L}/\text{min}$  during provocation ( $P = 0.001$ ) and recovered to 9.2 (SD 1.7)  $\mu\text{L}/\text{min}$ .

The group mean response times of diameter were 2.37 (SD 0.46) and 2.22 (SD 0.60) min for the effect (i.e., after initiation of hyperoxia) and recovery (i.e., after cessation of hyperoxia) phases, respectively (Fig. 3). The group mean response times of velocity were 2.68 (SD 0.55) and 2.55 (SD 0.53) min for the effect and recovery phases, respectively (Fig. 3). The group mean response times of flow were 2.54 (SD 0.56) and 2.28 (SD 0.43) min for the effect and recovery phases, respectively (Fig. 3). The response times during the effect phase were not significantly different from the response times during the recovery phase for both diameter and velocity (two-tailed paired  $t$ -test). Also there was no significant difference between the response times of diameter vs. velocity.

The group mean response lags of diameter were 2.00 (SD 1.07) and 1.38 (SD 0.45) min for the effect and recovery phases, respectively. The group mean response lags of velocity were 2.60 (SD 1.19) and 2.29 (SD 0.87) min for the effect and recovery phases, respectively. The group mean response lags

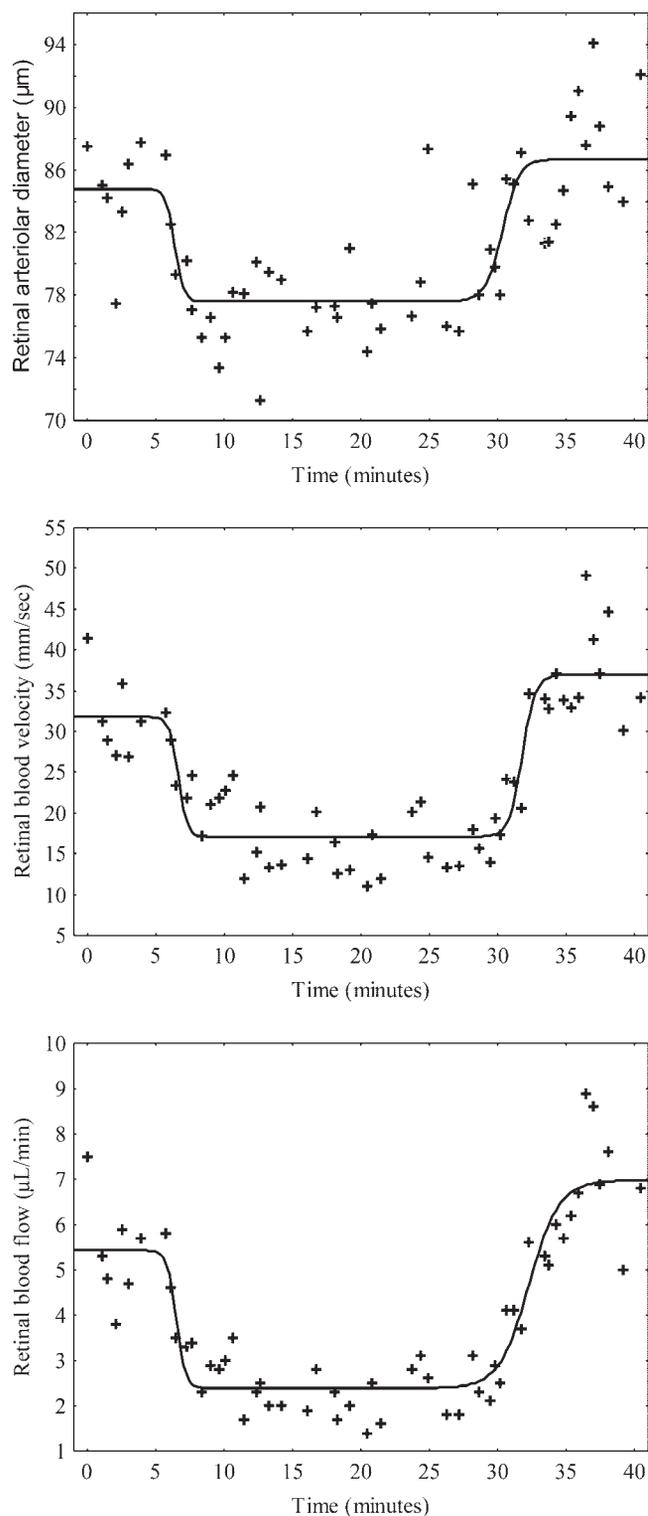


Fig. 2. Changes for a single participant in retinal arteriolar diameter (top), blood velocity (middle), and blood flow (bottom) induced by isocapnic hyperoxia delivered using the sequential rebreathing circuit. Data were fit using a sigmoidal function. The  $r$  values for the fitted functions were 0.77, 0.89, and 0.92 for diameter, velocity, and flow, respectively. Concentrations of expired  $CO_2$  were 4.53, 4.47, and 4.30% during initial air,  $O_2$ , and final air breathing periods, respectively.

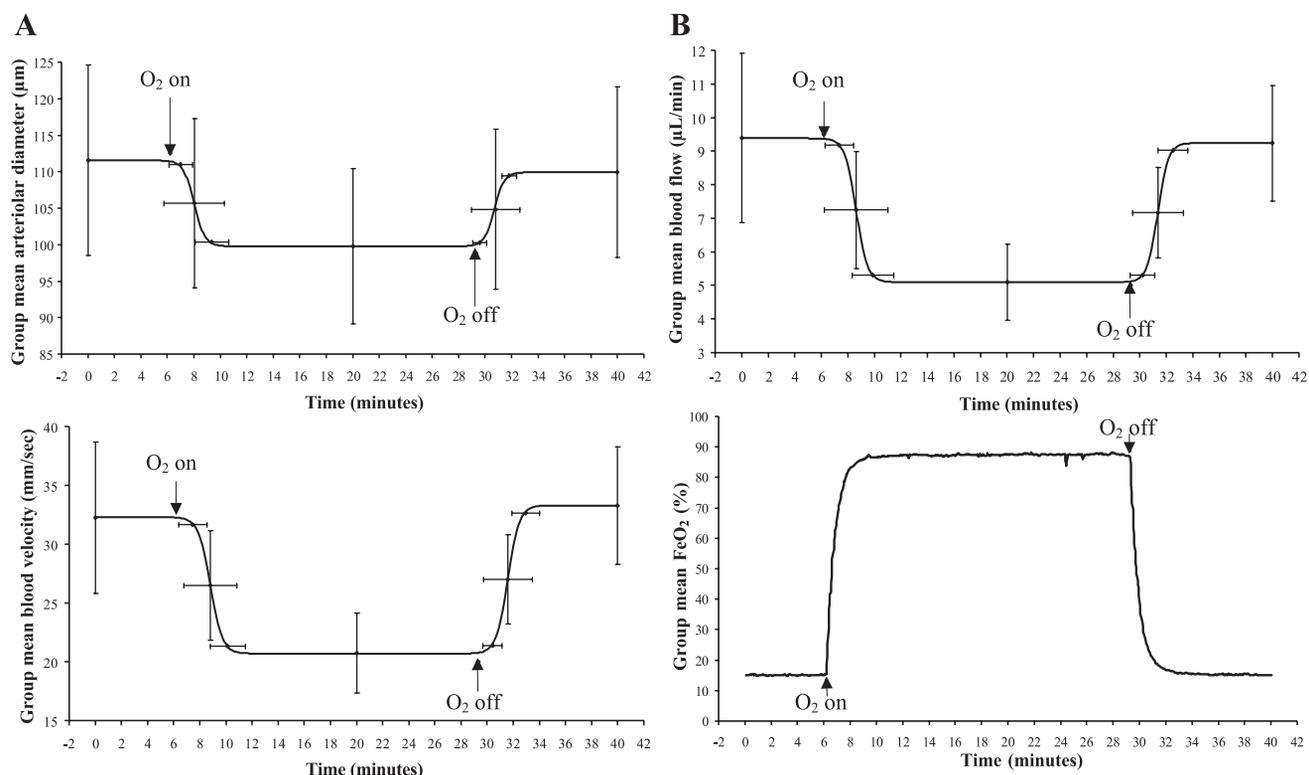


Fig. 3. A: group mean magnitudes of retinal arteriolar diameter (top) and blood velocity (bottom) before, during, and after isocapnic hyperoxic provocation. B: group mean magnitudes of retinal blood flow (top) and fractional concentration of O<sub>2</sub> in expired gas (FeO<sub>2</sub>; bottom) before, during, and after isocapnic hyperoxic provocation. “O<sub>2</sub> on” and “O<sub>2</sub> off” points are coincident for all participants. Diameter, velocity, and flow data were fit using a sigmoidal function. Time points detailed include (from left to right) group mean baseline magnitude, 5% point, midpoint, and 95% point (effect function); group mean magnitude during hyperoxia, 5% point, midpoint, and 95% point (recovery function); and final group mean magnitude. Error bars represent  $\pm 1$  SD.

of flow were 2.44 (SD 1.32) and 2.03 (SD 1.02) min for the effect and recovery phases, respectively. The response lags during the effect phase were not significantly different from the response lags during the recovery phase for both diameter and velocity (two-tailed paired *t*-test). The diameter response lags were not significantly different than the velocity response lags for the effect and recovery phases.

A correction factor was calculated because the change in arterial PO<sub>2</sub> was not a square wave, i.e., the time from the onset (or cessation) of O<sub>2</sub> until 50% of the observed change in FeO<sub>2</sub> had taken place. The group mean correction factors were 0.50 min for effect and 0.49 min for recovery and were not significantly different. The magnitude of the correction factor relates to group mean response lags of 1.7 min for diameter and 2.6 min for velocity (means of effect and recovery phases).

The group mean *r* values for diameter and velocity of the fitted functions were 0.843 and 0.700, respectively.

The inspired and end-tidal gas parameters and relevant systemic measures for initial air, isocapnic hyperoxia, and final

air are detailed in Table 1. Only heart rate, fractional inspired CO<sub>2</sub> and O<sub>2</sub> fractions (FiCO<sub>2</sub> and FiO<sub>2</sub>, respectively), and FeO<sub>2</sub> values changed significantly as a result of the hyperoxic provocation. The group mean values for mean arterial blood pressure [2/3(diastolic BP) + 1/3(systolic BP)] were 81.7 (SD 10.1) before hyperoxic provocation, 80.9 (SD 6.0) during provocation, and 81.8 (SD 7.9) mmHg after provocation. There were no significant differences in mean arterial pressure across the stimulus conditions.

## DISCUSSION

Retinal blood flow varies inversely with arterial PO<sub>2</sub> to maintain retinal oxygenation at a relatively constant level (25, 51) and also varies directly with arterial PCO<sub>2</sub> (34). Numerous studies have investigated retinal vascular reactivity using a hyperoxic stimulus. Some have investigated changes of retinal vessel diameter (9, 24, 26), whereas others have measured changes in aspects of hemodynamics using a variety of tech-

Table 1. Parameter values measured before and after hyperoxic provocation

Breathing Sequence	O <sub>2</sub> , %		CO <sub>2</sub> , %		Respiration Rate, breaths/min	Heart Rate, beats/min	Blood O <sub>2</sub> Saturation, %
	Inspired	End Tidal	Inspired	End Tidal			
Air, 5–10 min	19.84 $\pm$ 0.34	15.10 $\pm$ 0.58	0.62 $\pm$ 0.31	5.10 $\pm$ 0.50	17.22 $\pm$ 1.59	67.30 $\pm$ 7.81	97.80 $\pm$ 1.46
O <sub>2</sub> , 20 min	91.69 $\pm$ 7.90	86.23 $\pm$ 3.29	0.90 $\pm$ 0.57	4.98 $\pm$ 0.45	17.61 $\pm$ 2.45	63.99 $\pm$ 6.48	98.38 $\pm$ 1.05
Air, 10 min	19.88 $\pm$ 0.43	16.25 $\pm$ 0.53	0.67 $\pm$ 0.38	5.00 $\pm$ 0.46	17.40 $\pm$ 2.79	68.89 $\pm$ 8.16	97.72 $\pm$ 2.11

Values are group means  $\pm$  SD; *n* = 10 subjects.

niques (4, 14, 25, 33, 43, 46, 48). Alternatively, others have measured retinal vessel diameter and separately employed a bidirectional laser Doppler system to measure centerline retinal blood velocity to calculate flow (22, 31, 34, 37–39, 42). The technique used in this study measured centerline retinal blood velocity using a bidirectional photodetector and *simultaneously* acquired retinal vessel diameter measurements for the absolute quantification of retinal blood flow. All of these studies have employed 100% O<sub>2</sub> or coadministered O<sub>2</sub> (>90%) and CO<sub>2</sub> (~5%). None has used a truly isocapnic hyperoxic stimulus. Administration of enriched O<sub>2</sub> concentrations typically results in the reduction of arterial PCO<sub>2</sub> (1). The change of end-tidal CO<sub>2</sub> concentration (the maximum concentration of CO<sub>2</sub> during each expiration) reflects the change in arterial PCO<sub>2</sub> (40). The method of gas delivery used in this study minimized alterations in systemic PCO<sub>2</sub> concentrations (45). We have previously shown (21) that this delivery system results in stabilization of end-tidal CO<sub>2</sub> during hyperoxic provocation and thereby isolates the retinal vascular reactivity response to O<sub>2</sub> alone. Additionally, a recent report (17) has demonstrated separate vasoconstrictive effects of hyperoxia (i.e., O<sub>2</sub> mediated) and arterial hypocapnia (i.e., CO<sub>2</sub> mediated) in the cerebral vasculature.

In general, previous studies using 100% O<sub>2</sub> to assess retinal vascular reactivity have tended to find a greater magnitude of vasoconstriction, which we attribute to a compounded effect of elevated arterial O<sub>2</sub> and reduced CO<sub>2</sub> (22, 37, 38, 42). Most of these studies have measured vascular reactivity in venules, possibly because the derived velocity profile was nonpulsatile. Arterioles were used in this study because they are thought to be primarily responsible for the vascular reactivity response and to obey Poiseuille flow principles to a greater extent (given their more circular cross section).

In three previously published studies (22, 24, 34), researchers have investigated the time course of the changes in diameter or velocity using a hyperoxic stimulus (referred to in this report as response time and response lag). To the best of our knowledge, this is the first time that the response characteristics of arteriolar diameter and blood velocity have been simultaneously quantified due to hyperoxic provocation. In addition, the characteristics of the effect and recovery phases (i.e., after onset and cessation of the hyperoxic stimulus, respectively) have not been investigated concomitantly. The response characteristics of the retinal arterioles reported in this study are comparable to those of previous studies. There was a trend for diameter changes to occur before velocity responses to the hyperoxic stimulus, but neither the response time nor the response lag values were significantly different between diameter and velocity. Nagaoka and co-workers (36) found that retinal arteriolar velocity responded ~1.3 min before diameter in response to cold pressor provocation. When considered with the results reported in this report, different response characteristics of the retinal vasculature to transmural pressure-mediated autoregulation as opposed to metabolic-mediated vascular reactivity are suggested.

The changes in arterial Po<sub>2</sub> were not square waves, and as a result, a correction factor was calculated to compensate for this effect. A finite time is required for O<sub>2</sub> to reach the retinal vasculature owing to physiological delay of gas exchange in the lungs and lung-to-eye circulation time. The corrected response lag was therefore the measured response lag of

diameter and velocity reported above, less the influence of the correction factor. Nevertheless, the impact of the correction factor does not influence the differential relationship between diameter and velocity.

Homeostatic O<sub>2</sub> supply is primarily maintained during hyperoxia by a reduction in vessel diameter (51), although the exact governing mechanism has yet to be fully elucidated. Microelectrode animal studies indicate that inner retinal Po<sub>2</sub> is well regulated during hyperoxia (52). Various biochemical factors that may be responsible for retinal hyperoxia-induced vasoconstriction include endothelin-1 (6, 27, 29, 49, 57) and prostanoids (55). In addition, other mechanisms have been investigated in the cerebral vasculature that involve superoxide generation and nitric oxide (2, 8, 44, 56) or red blood cell physiological changes (10, 12, 28, 47).

In summary, this study is novel in that it used an isocapnic hyperoxic stimulus to provoke retinal vascular reactivity. Previous studies have been unable to avoid a concomitant reduction in PCO<sub>2</sub> during hyperoxia. In addition, the measurement technique used to assess retinal hemodynamics provided the unique ability to simultaneously quantify retinal blood velocity and vessel diameter for the absolute quantification of retinal blood flow. Although there was a trend for diameter to respond before velocity to the hyperoxic stimulus, neither the response times nor the response lag values were significantly different between diameter and velocity.

#### ACKNOWLEDGMENTS

The authors acknowledge Dr. Trefford Simpson for help with the function-fitting technique.

#### GRANTS

This work was funded by an Operating Grant and a New Investigator Award from the Canadian Institutes of Health Research and a Premier's Research Excellence Award (to C. Hudson).

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